



HK STOCK TRADING

ITEMS / FEES / CHARGED BY

Commission:	\$0	-
Platform Fee:	0.028% + HKD 7.99 / order (Rounded to nearest cent)	SoFi
Stamp duty:	0.1% (Rounded up to nearest dollar)	HK Government
SFC transaction levy:	0.0027% (Rounded to nearest cent)	HK SFC
AFRC transaction levy:	0.00015% (Rounded to the nearest cent)	HK AFRC
HKEx trading fee:	0.00565% (Rounded to the nearest cent)	HKEX
Settlement fee:	0.0042% (Min HKD 1)	HKEX & SoFi

NOMINEE SERVICES AND CORPORATE ACTION

Stock custody / Deposit transaction fee:	\$0
Scrip fee:	HKD 2 / Lot
Cash dividend / Scrip dividend:	0.5% of amount (Min HKD 28)
Cash dividend / Redemption:	\$0 (HK Government issued bond)
Bonus shares:	HKD 1 / Lot (Min HKD 28)
Rights / Warrants exercise:	HKD 1 / Lot (Min HKD 28)
CBBC mandatory call:	HKD 1 / Lot (Min HKD 28)
Excess rights application:	HKD 1 / Lot (Min HKD 28)
Cash offer / Open offer / Privatization:	HKD 1 / Lot (Min HKD 28)
Share registration change:	HKD 8 / Lot
Handling of unclaimed entitlement:	HKD 800 / Case
Voting:	HKD 100
SI & ISI deposit:	\$0
SI & ISI withdrawal:	HKD 8 / Lot (Min HKD 180 / Securities)
Physical scrip deposit:	HKD 80 / Securities
Physical scrip withdrawal:	HKD 10 / Lot (Min HKD 180 / Securities)
Transfer deed stamp duty:	HKD 8 / Deed
Voluntary cash redemption:	HKD 100
IPO Cash Subscription Fee:	HKD 0
IPO Financing Subscription Fee:	HKD 100
Odd lot buying (Add up only):	\$0
Odd lot selling:	\$0

FUNDS

Deposit**:	\$0
Withdraw to HSBC / BOC:	\$0
Withdraw to Other Local Bank / Cheque:	HKD 15 / USD 2 / CNY 15
Withdraw to Overseas bank**:	at cost (Min USD 35)
Bounced cheque / Stop payment:	HKD 280 / Case
Interest on debit balance:	HSBC prime rate + 8%
Interest on margin loan(Margin Account):	HSBC prime rate + 0.5%
Interest on margin call(Margin Account):	HSBC prime rate + 8%
Cash / Third party deposit return:	0.1% of the amount (Min HKD 50, Max HKD 500)



US STOCK TRADING

ITEMS / FEES / CHARGED BY

Commission:	USD 0.0038 / share (min. USD 0.88)	SoFi
Platform Fee:	USD 0.0038 / share (min. USD 0.88)	SoFi
SEC Fee (Sell only):	0	US SEC
Trading activity fee (Sell only):	USD 0.000166 / share (min. USD 0.01, max. USD\$8.3)	US FINRA
Settlement fee:	USD 0.003 / share (min. USD 0.01, max. 0.1% of transaction amount)	US Broker, SoFi & US Settlement agencies

NOMINEE SERVICES AND CORPORATE ACTION

Stock custody fee:	\$0
ADR depositary:	USD 0.03 / Share annually
Reorganization:	USD 200
(Voluntary Action - Redemption)*	
Reorganization:	USD 500
(Voluntary Action - Other) *	
Corporate action:	USD 3
Cash dividend:	\$0
Stock dividend:	\$0
DTC deposit:	\$0
DTC withdrawal:	USD 150 / Securities
Voting:	USD 10



AUTO INVEST (Robo Advisory Service)

ITEMS / FEES

Commission:	\$0	-
Platform Fee:	\$0	-
SEC Fee (Sell only):	\$0	US SEC
Trading activity fee (Sell only):	USD 0.000166 / share (min. USD 0.01, max. US \$ 8.3)	US FINRA
Settlement fee:	\$0	-
Cash dividend / Scrip dividend:	\$0	-
Advisory Fee:	0.5% p.a. (effective on 2 June 2025)	SoFi

ACCOUNT SERVICES

Electronic statement (Default):	\$0
Mail monthly statement:	HKD 80 / Statement
Electronic statement resend:	HKD 15 / Statement
Trade alert (Email):	\$0
Phone order:	HKD 280 / Order
HK real-time quotes:	500 free quotes / Month (Quotes delayed at least 15 mins after 500)
US real-time quotes:	\$0 (USD 28 /Month for professional investor)

*You are required to notify SoFi HK 5 business days before the official cutoff. The service is provided on "as is" and "as available" basis and is subjected to the availability of the custodian broker.

**Please be aware of the additional fees charged by the stock exchange and the bank. You should reimburse any out of pocket expenses and administrative costs incurred by SoFi Securities (Hong Kong) Limited as demanded.

NOTES

1. No commission and platform fee for US fractional trading (only applies to executed orders of less than 1 share).

2. For robo advisory accounts, the advisory fee will be an annual rate of 0.5% of the total asset value in the account calculated based on a daily basis (i.e. 365 days a year) on the total asset value, accumulated and charged monthly.

3. For any existing clients before 1 November 2023, you will continue to be charged with the HK\$30 monthly platform fee (unless your total net asset value is less than HK\$30 equivalent) until you have made at least one trade (excluding any trades under your robo advisory account(s)) on or after 1 January 2024.

4. For any new clients on or after 1 November 2023, you are not subject to the HK\$30 monthly platform fee.

5. Fees and charges above are subject to change from time to time. SoFi Securities (Hong Kong) Limited reserves the rights to adjust service charges without prior notice.

Due to the regulatory requirement, you are obligated to transfer fund from your registered bank account to our designated bank account.

HSBC

HSBC Main Building Plaza, 1 Queen's Road Central, Hong Kong

HKD : 652-032483-001

USD : 652-055161-201

CNY : 652-055179-209

Bank code : 004

Swift code : HSBCHKHCHKH

Bank of China

1 Garden Road, Hong Kong

HKD : 012-746-0-001010-2

USD : 012-746-0-800902-1

CNY : 012-746-0-600654-7

Bank code : 012

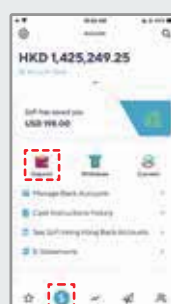
Swift code : BKCHHKHXXX

Two options to deposit money into your SoFi account:

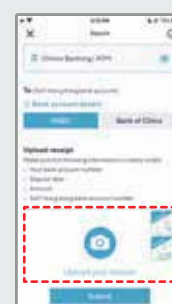
(1) DIRECT DEPOSIT:

- Upload bank receipt
- Cut off time: 11am & 4pm on working days
- Deposits are posted to your brokerage account on the same day after bank confirmation.

1



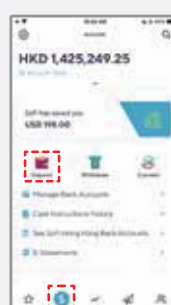
2



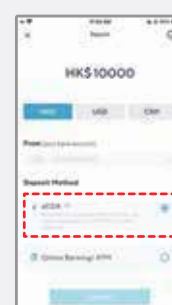
(2) EDDA DEPOSIT:

- One-time set up: Link with your local bank account
- Insert the amount (min. deposit: HK\$1,000)
- Click 'eDDA' for the deposit method and submit
- Funds will be available for trading immediately (subject to the processing time of your bank)
- Supported currency: HKD only

1



2



Fund Conversion

You can submit a buy order based on your consolidated buying power. It is calculated based on all of the currencies you hold in your brokerage account. If you do not have the sufficient currency balance to settle your trades, you may either submit a fund conversion or make a deposit and notify us through the SoFi Securities (Hong Kong) App. Otherwise, automatic fund conversion will take place at 11:00 am HKT, on settlement date (S-0) or one day before settlement date (S-1), or the next business day if it's a Hong Kong public holiday.

Any deposit / settled fund will be used to repay your debit. If they are made in the currency other than the currency you owe, automatic fund conversion will take place at 11:00 am HKT on the next business date.

Registered Deposit/Withdrawal Bank

Press "\$" at the bottom of the main screen >> "manage bank accounts" >> "add bank accounts" >> upload bank proof via instructions. The relevant update will take effect within two working days after confirmation; customer should deposit fund with the registered bank account. Please note that SoFi Securities (Hong Kong) Limited does not accept cash/third party deposit. There will be a charge for refunding all relevant deposits. Please refer to the "Cash/Third Party Deposit Return" in the fee table.