



5th Anniversary Challenge Promotion

Terms and Conditions

1. By participating in SoFi Securities (Hong Kong) Limited ("SoFi HK") 5th Anniversary Challenge Promotion ("Promotion"), you agree to be bound by the Terms and Conditions of the Promotion and any such variation.
2. This promotion is only applicable to an existing client and new clients of SoFi HK who have securities trading accounts (applicable to both cash trading and margin trading accounts) with SoFi HK.
3. You can earn Campaign Scores ("Scores") by completing the below Tasks and fulfilling the relevant Task Requirements specified in the SoFi HK mobile application ("App") within the specified promotion period.
4. This Promotion runs from 1 May 2025 at 12:00 a.m. (HKT) to 1 July 2025 at 23:59 p.m. (HKT). (Promotion Period).
5. Total Prize quotas are 10 during the promotion period. Prizes are listed as follows and will be allocated to winners ("Winner(s)") according to the final score from high to low. If there is a tie, SoFi HK reserves the rights of final decision of the winners, the eligibility of winners and the Prizes provided.
6. Prizes are provided by SoFi HK and will be distributed according to the total score ranking of the Promotion. Prizes are non-transferable and cannot be exchanged for cash or any other alternatives and is bounded by "5th Anniversary Challenge Promotion Terms and Conditions".

Prize Rank	Prize	Quota
1 st	One Rolex Watch Submariner (Reference 124060)	1
2 nd	One share of Amazon.com Inc. (US:AMZN)	1
3 rd	One share of NVIDIA Corp. (US:NVDA)	1
4 th - 5 th	One share of Roku Inc. CI A (US:ROKU)	2
6 th - 10 th	One share of Hims & Hers Health Inc. (US:HIMS)	5

7. SoFi HK will deposit the 2nd Prize to 10th Prize to the SoFi HK account within 30 business days after the Promotion end day. The account must be active at that time.
8. The redemption arrangement for the 1st Prize Winner will be notified individually by email and the 1st Prize must be collected at a designated collection point in Hong Kong during office hours. The 1st Prize Winner must collect the Prize on or before 30 September 2025 (collection end date), otherwise the 1st Prize Winner shall be automatically deemed to have forfeited the Prize and SoFi HK shall assume no liability thereof.
9. SoFi HK will not provide or arrange delivery services and reserves the right to reclaim any Prize that remain unclaimed after the collection end date, with full discretion on how to handle them.
10. SoFi HK may change, suspend or withdraw different Prizes or arrangements under the Promotion without prior notice.
11. You are responsible for and are required to pay all fees, charges and taxes associated with the Prizes. SoFi HK shall be deemed to have discharged its obligations once the Prizes have been allocated to winners.
12. You can earn Scores by completing the task during the promotion period as follows:

a) Task "Monthly Net Deposit"

Task Requirements	Net Deposit during the period	Number of Scores	
		From 1 May 2025 to 31 May 2025	From 1 June 2025 to 30 June 2025
You can earn Scores as stated in the right-hand columns given that: <ul style="list-style-type: none">- Made a positive net deposit (i.e. deposit amount minus withdrawal amount) from your bank account into your SoFi HK account during the promotion period; and- The net deposit amount is at or above certain tiers *The monthly net deposit amounts are calculated based on their effective time (the deposits are processed and reflected in your daily statement) and will be reset on the start of each month. All calculations are based in HKD using the foreign exchange rate in SoFi HK's sole discretion, any indication in the App throughout the month are for reference only	HK\$15,000 - HK\$29,999.99	20 Scores	30 Scores
	HK\$30,000 - HK\$59,999.99	40 Scores	60 Scores
	HK\$60,000 or above	90 Scores	135 Scores

*SoFi HK will credit the Scores associated with the highest tier you have achieved of Task "Monthly Net Deposit" during the relevant period to your SoFi HK account on the first calendar day of the next month (i.e. Credit Scores on 1 July 2025 for period from 1 June 2025 to 30 June 2025). Your account must still be active at the time.

b) Task "Daily Log In"

Task Requirements	Number of Scores			
	From 2 May 2025 to 15 May 2025	From 16 May 2025 to 1 June 2025	From 2 June 2025 to 15 June 2025	From 16 June 2025 to 1 July 2025
You can earn Scores: - Successfully logged in to the SoFi HK mobile application during the promotion period	1 Score	2 Scores	1 Score	3 Scores

*You can only complete Task "Daily Log In" once daily. SoFi HK will credit the Scores to your SoFi HK account instantly after you have completed the task. Your account must still be active at the time.

c) Task "HK Monthly Trades"

HK Market					
Task requirements	Total Accumulated Number of Eligible Transactions in the calendar month	Number of Scores			
		From 1 May 2025 to 14 May 2025	From 15 May 2025 to 31 May 2025	From 1 June 2025 to 14 June 2025	From 15 June 2025 to 30 June 2025
You can earn Scores as stated in the right-hand columns given that: - have successfully executed buy or sell transactions of the Hong Kong Listed Securities ("Eligible Transactions") via SoFi HK mobile application during the promotion period	1 st - 2 nd Trades	0 Score per Eligible Transaction	0 Score per Eligible Transaction	0 Score per Eligible Transaction	0 Score per Eligible Transaction
	3 rd - 10 th Trades	2 Score per Eligible Transaction	4 Scores per Eligible Transaction	2 Score per Eligible Transaction	6 Scores per Eligible Transaction
	11 th - 50 th Trades	3 Scores per Eligible Transaction	6 Scores per Eligible Transaction	3 Scores per Eligible Transaction	9 Scores per Eligible Transaction
	51 st - 100 th Trades	5 Scores per Eligible Transaction	10 Scores per Eligible Transaction	5 Scores per Eligible Transaction	15 Scores per Eligible Transaction
	101 st - 500 th Trades	8 Scores per Eligible Transaction	16 Scores per Eligible Transaction	8 Scores per Eligible Transaction	24 Scores per Eligible Transaction
	>=501 st Trades	40 Scores per Eligible Transaction	80 Scores per Eligible Transaction	40 Scores per Eligible Transaction	120 Scores per Eligible Transaction

*SoFi HK will credit the Scores of Task "HK Monthly Trades" into your SoFi HK account on the next calendar day after you have successfully executed an eligible transaction. Your account must still be active at the time.

d) Task "US Monthly Trades"

US Market					
Task requirements	Total Accumulated Number of Eligible Transactions in the calendar month	Number of Scores			
		From 1 May 2025 to 14 May 2025	From 15 May 2025 to 31 May 2025	From 1 June 2025 to 14 June 2025	From 15 June 2025 to 30 June 2025
You can earn Scores as stated in the right-hand columns given that: - have successfully executed buy or sell transactions of the US Listed Securities ("Eligible Transactions") via SoFi HK mobile application during the promotion period	1 st - 2 nd Trades	0 Score per Eligible Transaction	0 Score per Eligible Transaction	0 Score per Eligible Transaction	0 Score per Eligible Transaction
	3 rd - 10 th Trades	2 Scores per Eligible Transaction	4 Scores per Eligible Transaction	2 Scores per Eligible Transaction	6 Scores per Eligible Transaction
	11 th - 50 th Trades	4 Scores per Eligible Transaction	8 Scores per Eligible Transaction	4 Scores per Eligible Transaction	12 Scores per Eligible Transaction
	51 st - 100 th Trades	6 Scores per Eligible Transaction	12 Scores per Eligible Transaction	6 Scores per Eligible Transaction	18 Scores per Eligible Transaction
	101 st - 500 th Trades	10 Scores per Eligible Transaction	20 Scores per Eligible Transaction	10 Scores per Eligible Transaction	30 Scores per Eligible Transaction
	>=501 st Trades	50 Scores per Eligible Transaction	100 Scores per Eligible Transaction	50 Scores per Eligible Transaction	150 Scores per Eligible Transaction



*SoFi HK will credit the Scores of Task “US Monthly Trades” into your SoFi HK account on the next calendar day after you have successfully executed an eligible transaction. Your account must still be active at the time.

e) Task “Refer a Friend – Referrer”

Task Requirements	Referral	Number of Scores			
		From 2 May 2025 to 15 May 2025	From 16 May 2025 to 1 June 2025	From 2 June 2025 to 15 June 2025	From 16 June 2025 to 1 July 2025
You can earn Scores for each referral (Capped at 6) as stated in the right-hand columns given that: <ul style="list-style-type: none"> - The referee has submitted an account opening application to SoFi HK using a valid referral code of you, and the referee’s SoFi HK account has been approved and successfully opened with their e-signature during the promotion period 	Each Referral	40 Scores	80 Scores	40 Scores	120 Scores

*You can only complete Task “Refer a Friend – Referrer” once, and a maximum of 6 referrals can be completed during the promotion period. SoFi HK will credit the Scores to your SoFi HK account on the same day your referee has successfully opened the account (i.e. account opening date). Your account must still be active at the time.

f) Task “Referral Trades - Referrer”

Task Requirements	Referral	Number of Scores			
		From 2 May 2025 to 14 May 2025	From 15 May 2025 to 31 May 2025	From 1 June 2025 to 14 June 2025	From 15 June 2025 to 30 June 2025
You can earn Scores for each referral as stated in the right-hand columns given that: <ul style="list-style-type: none"> - The referee, who has successfully opened a SoFi HK account using a valid referral code of you during the promotion period, has successfully executed buy or sell transactions of the HK Listed Securities or US Listed Securities (“Eligible Transactions”) via SoFi HK mobile application during the promotion period 	Each Referral	4 Scores per Eligible Transaction	8 Scores per Eligible Transaction	4 Scores per Eligible Transaction	12 Scores per Eligible Transaction

*SoFi HK will credit the Scores of Task “Referral Trades - Referrer” into your SoFi HK account on the next calendar day after your referee have successfully executed an eligible transaction. Your account must still be active at the time.

g) Task “Refer a Friend – Referee”

Task Requirements	Number of Scores			
	From 2 May 2025 to 15 May 2025	From 16 May 2025 to 1 June 2025	From 2 June 2025 to 15 June 2025	From 16 June 2025 to 1 July 2025
You can earn Scores: <ul style="list-style-type: none"> - Submit an account opening application to SoFi HK using a valid referral code; and - Your SoFi HK account has been approved and successfully opened with your e-signature during the promotion period 	20 Scores	40 Scores	20 Scores	60 Scores

*You can only complete Task “Refer a Friend – Referee” once and you can only enjoy the relevant Scores once. SoFi HK will credit the Scores to your SoFi HK account on the same day you have successfully opened the account with a valid referral code (i.e. account opening date). Your account must still be active at the time.

13. Any trade transactions with commission waiver or platform fee waiver, IPO Subscription and any trade conducted under the Robo Advisory accounts will NOT be considered in the calculation of the accumulated numbers of eligible transactions.
14. The Eligible Transaction of any Joint Names Account will NOT be counted toward the Eligible Transactions of any Individual Account of any of the beneficiaries of the Joint Names Account. For the avoidance of doubt, the transaction of any Joint Names Account will be calculated separately.
15. All time or period described in these Terms and Conditions are based on Hong Kong time.
16. SoFi HK may at any time and without advance notice, withdraw, limit, modify, cancel or add any tasks specified in the App.
17. SoFi HK reserves the right to adjust any Scores earned by completing these tasks.
18. SoFi HK is not responsible in case of any delay, loss, error or fail to identify of your Scores in connection with any technical issues such as computer system or internet connection failure etc. All the Scores, dates and time that are relevant to the promotion are based on the computer system and SoFi HK shall have the rights of final decision.
19. Scores earned by you will be immediately expired after the end of the promotion period.
20. Scores may not be transferred or sold.
21. You shall not make any claim or bring any action against any party of SoFi HK relating to the Promotion in connection with any of the foregoing circumstances including but not limited to any communication system failure, interruption, interception, suspension, delay, loss, unavailability, incorrect data transmission or other failure that makes the promotion unavailable.
22. All account opening process is subject to SFC regulations and other laws and regulations. You must fulfill all requirements as imposed by SoFi HK in order to complete the account opening process.
23. You warrant and undertake that:
 - a. You as a referrer did not and will not discuss with, recommend or otherwise encourage anyone to enter into any specific transactions with SoFi HK;
 - b. You as a referrer did not and will not issue, publish or distribute any advertisement, marketing materials or other sales literature utilizing or making reference to SoFi HK or its products / services or use such means to solicit anyone;
 - c. Referrals are not made by way of solicitation and should only be made at the request of the referee; and
 - d. Your decision on opening an account with SoFi HK must be made pursuant to your own unprompted and informed self-directed decision. You are solely and exclusively responsible for determining whether any investment, or strategy, or any other product or service is appropriate or suitable for you. SoFi HK will not be liable for any losses that you may sustain.
24. A referee must not be:
 - a. An existing client of SoFi HK; or
 - b. A prior client of SoFi HK; or
 - c. A corporate account whose beneficiary is an existing client or a prior client of SoFi HK.
25. This Promotion may not be transferred or sold.
26. This Promotion may not be used with other promotional events.
27. The Promotion is not applicable to SoFi HK staff.
28. SoFi HK reserves the right to terminate this Promotion at any time. Notice of termination may or may not be posted (but SoFi HK is not obliged to do so) to SoFi HK's website or via other means. After the termination, no one can participate in this Promotion and no one holds the right to claim any Prizes from this Promotion from SoFi HK.
29. SoFi HK reserves the right to vary the terms and conditions of this Promotion or to withdraw this Promotion at any time. SoFi HK's decision is final in all matters relating to this Promotion.
30. In the event of any inconsistency between these Terms and Conditions and any brochure, marketing or promotional material relating to this promotion, these Terms and Conditions will prevail.
31. These terms and conditions are prepared in both English and Chinese versions, in the event of discrepancy between the versions, the English version shall prevail.

Disclaimer

The above information is for reference only and does not constitute and should not be regarded as an offer, solicitation, invitation, investment advice, recommendation to buy, sell or otherwise deal with any investment instrument or product in any jurisdictions. Keep in mind that investing involves risk, and past performance of an asset never guarantees future results or returns. It's important for investors to consider their specific financial needs, goals, and risk profile before making an investment decision. You are encouraged to consult your own independent financial advisors before making any investment decision.