

Flash Promotion – February 2025

Terms and Conditions

1. By participating in SoFi Securities (Hong Kong) Limited (“SoFi HK”) Flash Promotion – February 2025 (“Promotion”), you agree to be bound by the Terms and Conditions of the Promotion and any such variation.
2. This promotion is applicable to all existing and new clients of SoFi HK.
3. By completing the below Tasks and fulfilling the relevant Task Requirements within the specified promotion period, you are entitled to the relevant Rewards (“Rewards”) as stated in the below tables.
4. This Promotion start date is 3 February 2025 at 4:00 p.m. (HKT).
5. This Promotion will end on 28 February 2025 at 11:59 p.m. (HKT) and 20 April 2025 at 11:59 p.m. (HKT) for Task “Auto Invest Bundle” and Task “Refer a Friend” respectively.

Task “Auto Invest Bundle”

Task Requirements	Rewards
<p>You are eligible for one Rewards:</p> <ul style="list-style-type: none"> - Deposit a minimum of USD 100 in your SoFi HK account or use the Convert feature in the App to convert a minimum of USD 100 from other currencies in your Direct Invest account by 28 February 2025 11:59 p.m. (HKT); and - Start a minimum USD 100 new Auto Invest investment (“New Investment”) by 28 February 2025 11:59 p.m. (HKT) (no withdrawal out of the New Investment shall be made until the applicable Points Credit Date). <p>*Please refer to our App for more details on the cutoff time of different deposit methods and New Investment request.</p>	20 SoFi Points

Task “Refer a Friend ” - Referrer

Task Requirements	Referral	Rewards
<p>You are eligible for one Rewards for each referral (Capped at 6) as stated in the right-hand columns given that:</p> <ul style="list-style-type: none"> - The referee has submitted an account opening application to SoFi HK using a valid referral code of you, and the referee’s SoFi HK account has been approved and successfully opened with their e-signature by 20 April 2025 11:59 p.m. (HKT) 	Each Referral	40 SoFi Points

Task “Refer a Friend” - Referee

Task Requirements	Rewards
<p>You are eligible for one Rewards:</p> <ul style="list-style-type: none"> - Submit an account opening application to SoFi HK using a valid referral code; and - Your SoFi HK account has been approved and successfully opened with your e-signature by 20 April 2025 11:59 p.m. (HKT); 	20 SoFi Points

6. You can only complete Task “Auto Invest Bundle” and Task “Refer a Friend” once and you can only enjoy the relevant Rewards once.
7. If you use the Convert feature, you agree that your funds will be converted at the daily exchange rate predetermined by SoFi HK and SoFi HK has the discretionary power to determine such rate.
8. The Task “Refer a Friend” cannot be used in conjunction with other promotional events offered by SoFi HK and you can only enjoy a maximum of 240 SoFi points as a reward in any referral task during a specific promotion period in 2025.
9. SoFi HK will deposit the Rewards of Task “Auto Invest Bundle” to your SoFi HK account on the first business day of the month after next. For example, if you complete the task on 3 February, Points will be credited on 1 April. Your account must be active at that time.
10. SoFi HK will deposit the Rewards of Task “Refer a Friend” to your SoFi HK account within 7 business days after the task completion day. Your account must be active at that time.
11. All account opening process is subject to SFC regulations and other laws and regulations. You must fulfill all requirements as imposed by SoFi HK in order to complete the account opening process.
12. You warrant and undertake that:

- a) You as a referrer did not and will not discuss with, recommend or otherwise encourage anyone to enter into any specific transactions with SoFi HK;
 - b) You as a referrer did not and will not issue, publish or distribute any advertisement, marketing materials or other sales literature utilizing or making reference to SoFi HK or its products / services or use such means to solicit anyone;
 - c) Referrals are not made by way of solicitation and should only be made at the request of the referee; and
 - d) Your decision on opening an account with SoFi HK must be made pursuant to your own unprompted and informed self-directed decision. You are solely and exclusively responsible for determining whether any investment, or strategy, or any other product or service is appropriate or suitable for you. SoFi HK will not be liable for any losses that you may sustain.
13. A referee must not be:
 - a) An existing client of SoFi HK; or
 - b) A prior client of SoFi HK; or
 - c) A corporate account whose beneficiary is an existing client or a prior client of SoFi HK.
 14. SoFi HK reserves the right, at its sole discretion, to prohibit you from participating in this promotion if SoFi HK deems or suspects that such you / any of your referees has engaged in or has attempted to engage in any of the followings:
 - a) Acting in violation of the SoFi Points Terms and Conditions;
 - b) Damaging, tampering with or corrupting the operation of the loyalty Program;
 - c) Activity deemed in the sole discretion of SoFi HK to be generally inconsistent with the intended operation of the loyalty Program.
 15. There are limited quotas for the Rewards and the Rewards are available on a first come first served basis.
 16. You are responsible for and are required to pay all fees, charges and taxes associated with the Rewards. SoFi HK shall be deemed to have discharged its obligations once the Rewards has been deposited into your SoFi HK account.
 17. This Promotion and Rewards is subjected to availability. SoFi HK reserves the right to replace the Rewards with alternative items without prior notice and at SoFi HK's sole discretion.
 18. This Promotion may not be transferred or sold.
 19. This Promotion may not be used with other promotional events.
 20. All Rewards are non-exchangeable for cash and / or other gifts.
 21. SoFi HK reserves the right to terminate this Promotion at any time. Notice of termination may or may not be posted (but SoFi HK is not obliged to do so) to SoFi HK's website or via other means. After the termination, no one can participate in this Promotion and no one holds the right to claim any Rewards from this Promotion from SoFi HK.
 22. SoFi HK reserves the right to vary the terms and conditions of this Promotion or to withdraw this Promotion at any time. SoFi HK's decision is final in all matters relating to this Promotion.
 23. SoFi Points is a loyalty program provided by SoFi HK. You can refer to the SoFi Points Terms and Conditions in the SoFi Hong Kong mobile application ("App") for more details.
 24. In the event of any inconsistency between these Terms and Conditions and any brochure, marketing or promotional material relating to this promotion, these Terms and Conditions will prevail.
 25. These terms and conditions are prepared in both English and Chinese versions, in the event of discrepancy between the versions, the English version shall prevail.

Disclaimer

The above information is for reference only and does not constitute and should not be regarded as an offer, solicitation, invitation, investment advice, recommendation to buy, sell or otherwise deal with any investment instrument or product in any jurisdictions. Keep in mind that investing involves risk, and past performance of an asset never guarantees future results or returns. It's important for investors to consider their specific financial needs, goals, and risk profile before making an investment decision. You are encouraged to consult your own independent financial advisors before making any investment decision.