

Cashback Promotion

Terms and Conditions

- By participating in SoFi Securities (Hong Kong) Limited ("SoFi HK") Cashback Promotion ("Promotion"), you agree to be bound by the Terms and Conditions of the Promotion and any such variation.
- This Promotion runs from 1 July 2024 at 10:00 a.m. (HKT) to 1 January 2025 at 09:00 a.m. (HKT) ("Promotional Period").
- This Promotion is applicable to the existing and new clients who
 - have securities trading accounts (applicable to both cash trading and margin trading accounts) with SoFi HK; and
 - have successfully completed the registration during the specified promotional period ("Registration"); and
 - have successfully executed buy or sell transactions of the Hong Kong Listed Securities or US Listed Securities ("Eligible Transactions") within the specified promotion period.
- During the registration, you are required to select one choice out of the 3 plans. You are entitled to the relevant Cashback Rewards ("Rewards") based on the turnover and numbers of the Eligible Transactions respectively, as stated in the below tables.

HK Cashback Plan

Hong Kong Market	
Total Accumulated Turnover of Eligible Transactions in the calendar month	Cashback % on Hong Kong Platform Fee ("Rewards")
HK\$100,000 – HK\$999,999.99	5%
HK\$1,000,000 – HK\$4,999,999.99	10%
HK\$5,000,000 – HK\$49,999,99.99	30%
HK\$50,000,000 – HK\$99,999,999.99	50%
HK\$100,000,000 or above	90%

*If you choose HK Cashback Plan, only the turnover of eligible transactions of Hong Kong Listed Securities will be counted.

US Cashback Plan

US Market	
Total Accumulated Number of Eligible Transactions in the calendar month	Cashback % on US Platform Fee & Commission ("Rewards")
10 - 100	5%
101 - 500	10%
501 - 1000	50%
1001 - 5000	80%
5001 or above	90%

*If you choose US Cashback Plan, only the number of eligible transactions of US Listed Securities will be counted.

SoFi Points Earning Plan

Hong Kong Market & US Market	
Total Accumulated Number of Eligible Transactions in the calendar month	Number of SoFi Points ("Rewards")
50 or above	50 SoFi Points

*If you choose SoFi Points Earning Plan, the number of eligible transactions of Hong Kong and US Listed Securities will be counted.

- You must make a registration on or before the end of the calendar month, any eligible transactions made before the calendar month of registration will not be counted.
- You only need to register your plan during the promotional period once. If you register more than once in the calendar month, your last successful registration received by us in that calendar month will apply and will be automatically applied to the next and subsequent months during the promotional period.



7. Completion of the Registration does not guarantee the eligibility of the rewards.
8. If your Eligible Transactions involves foreign currency, such turnover amount will be calculated in HKD using the daily foreign exchange rate determined by SoFi HK and SoFi HK has the discretionary power to determine such rate.
9. You should pay the pre-defined Platform Fee and Commission Fee first in full. At the end of each calendar month, SoFi HK will calculate the total accumulated turnover and number of eligible transactions in the calendar month under your SoFi HK Account(s). SoFi HK will deposit the Rewards into your SoFi HK account on the first Hong Kong business day of next month. If the securities traded in Hong Kong market are not settled in Hong Kong Dollar, the relevant Rewards will be converted to the equivalent Hong Kong Dollar. The exchange rate is determined by SoFi HK.
10. Any trade transactions with commission waiver or platform fee waiver will NOT be considered in the calculation of the accumulated turnover and numbers of eligible transactions.
11. The Eligible Transaction of any Joint Names Account will NOT be counted toward the Eligible Transactions of any Individual Account of any of the beneficiaries of the Joint Names Account. For the avoidance of doubt, the transaction of any Joint Names Account will be calculated separately.
12. Your account must still be active when SoFi HK deposits the Rewards into your account. If your account is closed or suspended under whatever reason, any Rewards remaining for the account will be forfeited.
13. You are responsible for and are required to pay all fees, charges and taxes associated with the Rewards. SoFi HK shall be deemed to have discharged its obligations once the Rewards has been deposited into your SoFi HK account.
14. This Promotion and Rewards are subject to availability.
15. This Promotion may not be transferred or sold.
16. This Promotion may not be used with other promotional events.
17. All Rewards are non-exchangeable for cash and / or other gifts.
18. SoFi HK reserves the right to terminate this Promotion at any time. Notice of termination may or may not be posted (but SoFi HK is not obliged to do so) to SoFi HK's website or via other means. After the termination, no one can participate in this Promotion and no one holds the right to claim any Rewards from this Promotion from SoFi HK.
19. SoFi HK reserves the right to vary the terms and conditions of this Promotion or to withdraw this Promotion at any time. SoFi HK's decision is final in all matters relating to this Promotion.
20. In the event of any inconsistency between these Terms and Conditions and any brochure, marketing or promotional material relating to this promotion, these Terms and Conditions will prevail.
21. These terms and conditions are prepared in both English and Chinese versions, in the event of discrepancy between the versions, the English version shall prevail.

Disclaimer

The above information is for reference only and does not constitute and should not be regarded as an offer, solicitation, invitation, investment advice, recommendation to buy, sell or otherwise deal with any investment instrument or product in any jurisdictions. Keep in mind that investing involves risk, and past performance of an asset never guarantees future results or returns. It's important for investors to consider their specific financial needs, goals, and risk profile before making an investment decision. You are encouraged to consult your own independent financial advisors before making any investment decision.