

# PRICING

Unlimited stock trading, community and support  
for only HK\$30/month\*. No hidden fees\*\*. Ever.

**\$0 COMMISSION**  **HK STOCK TRADING**

**\$0 COMMISSION**  **US STOCK TRADING**

## STOCK EXCHANGE AND CLEARING FEES

|                       |  |
|-----------------------|--|
| Stamp duty            | 0.1% (Rounded to the nearest dollar)   |
| SFC transaction levy  | 0.0027% (Rounded to nearest cent)      |
| AFRC transaction levy | 0.00015% (Rounded to the nearest cent) |
| HKEx trading fee      | 0.00565% (Rounded to the nearest cent) |
| Settlement fee        | 0.002% (Min HKD 2' max HKD 200)        |

|                                  |   |
|----------------------------------|---|
| SEC transaction fee (Sell only)  | 0.0008% (Min USD 0.01)                              |
| Trading activity fee (Sell only) | USD 0.000145 / Share<br>(Min USD 0.01 max USD 7.27) |

## NOMINEE SERVICES AND CORPORATE ACTION

|   |   |
|---|---|
| Stock custody / Deposit transaction fee | Free                                    |
| Scrip fee                               | HKD 2 / Lot                             |
| Cash dividend / Scrip dividend          | 0.5% of amount (Min HKD 28)             |
| Bonus shares                            | HKD 1 / Lot (Min HKD 28)                |
| Rights / Warrants exercise              | HKD 1 / Lot (Min HKD 28)                |
| CBBC mandatory call                     | HKD 1 / Lot (Min HKD 28)                |
| Excess rights application               | HKD 28                                  |
| Cash offer / Open offer / Privatization | HKD 1 / Lot (Min HKD 28)                |
| Share registration change               | HKD 8 / Lot                             |
| Handling of unclaimed entitlement       | HKD 800 / Case                          |
| HK IPO application                      | HKD 0                                   |
| Voting                                  | HKD 100                                 |
| SI & ISI deposit                        | Free                                    |
| SI & ISI withdrawal                     | HKD 8 / Lot (Min HKD 180 / Securities)  |
| Physical scrip deposit                  | HKD 80 / Securities                     |
| Physical scrip withdrawal               | HKD 10 / Lot (Min HKD 180 / Securities) |
| Transfer deed stamp duty                | HKD 8 / Deed                            |
| Voluntary cash redemption               | HKD 100                                 |

|                                       |                           |
|---------------------------------------|---------------------------|
| Stock custody fee                     | Free                      |
| ADR depositary                        | USD 0.03 / Share annually |
| Reorganization activities (Voluntary) | USD 58                    |
| Corporate action                      | USD 3                     |
| Cash dividend                         | Free                      |
| Stock dividend                        | Free                      |
| DTC deposit                           | Free                      |
| DTC withdrawal (Each stock)           | USD 150                   |
| Voting                                | USD 10                    |

## FUNDS

|  |  |
|--|--|
| Deposit / Withdrawal                     | Free : HSBC/BOC ;<br>HKD 15 / USD 2 : Non HSBC/BOC/<br>cheque (Withdrawal) ;<br>Overseas transfer at cost (Min USD 35) |
| Bounced cheque / Stop payment            | HKD 280 / Case   |
| Interest on debit balance                | HSBC prime rate + 8%   |
| (Margin Account) Interest on margin loan | HSBC prime rate + 0.5%   |
| (Margin Account) Interest on margin call | HSBC prime rate + 8%   |
| Cash / Third party deposit return        | 0.1% of the amount<br>(Min HKD 28 max HKD 280)   |

|  |  |
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## ACCOUNT SERVICES

|                                |   |
|--------------------------------|---|
| Electronic statement (Default) | Free  |
| Mail monthly statement         | HKD 38 / Statement  |
| Electronic statement resend    | HKD 18 / Statement  |
| HK real-time quotes            | First 500 quotes free / Month; Upgrade<br>to unlimited plan HKD 250 / Month |
| Trade alert (Email)            | Free  |
| Account confirmation           | HKD 200 / Case  |
| Phone order                    | HKD 280 / Order   |

|                                |  |
|--------------------------------|--|
| Electronic statement (Default) | Free   |
| Mail monthly statement         | HKD 38 / Statement                                 |
| Electronic statement resend    | HKD 18 / Statement                                 |
| US real-time quotes            | Free<br>(USD 28 / Month for professional investor) |
| Trade alert (Email)            | Free   |
| Account confirmation           | HKD 200 / Case                                     |
| Phone order                    | HKD 280 / Order                                    |

\*If you have an aggregated net assets value equivalent to HK\$30 or above from all your account(s), on the last day of the month, you will be charged HK\$30 on the next business day. Please refer to our FAQ section for more details.

\*\* Please be aware of the additional fees charged by the stock exchange and the bank. You should reimburse any out of pocket expenses and administrative costs incurred by SoFi Securities (Hong Kong) Limited as demanded.

## FUND YOUR SOFI HONG KONG ACCOUNT

Company PAYEE name : SoFi Securities (Hong Kong) Limited

Remove brackets around the words "Hong Kong" if your bank doesn't support special characters in the Payee name. E.g: SoFi Securities Hong Kong Limited

Due to the regulatory requirement, you are obligated to transfer fund from your registered bank account to our designated bank account.

### HSBC

HSBC Main Building Plaza, 1 Queen's Road Central, Hong Kong

HKD : 652-032483-001

USD : 652-055161-201

CNY : 652-055179-209

Bank code : 004

Swift code : HSBCHKHHHKH

### BANK OF CHINA

1 Garden Road, Hong Kong

HKD : 012-746-0-001010-2

USD : 012-746-0-800902-1

CNY : 012-746-0-600654-7

Bank code : 012

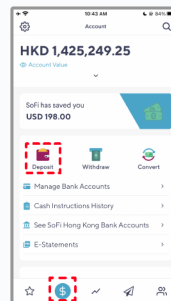
Swift code : BKCHHKHHXXX

Two options to deposit money into your SoFi account:

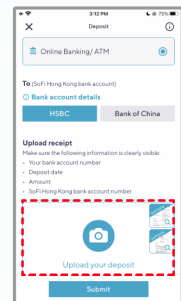
#### 1) DIRECT DEPOSIT:

- Upload bank receipt
- Cut off time: 11am & 4pm on working days
- Deposits are posted to your brokerage account on the same day after bank confirmation.

1



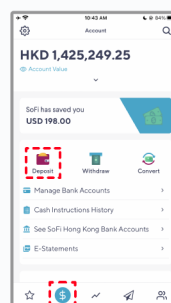
2



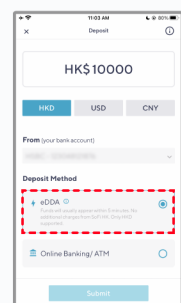
#### 2) EDDA DEPOSIT:

- One-time set up: Link with your local bank account (available for HSBC, BOC and Hang Seng bank)
- Insert the amount (min. deposit: HK\$1,000)
- Click 'eDDA' for the deposit method and submit
- Funds will be available for trading immediately (subject to the processing time of your bank)
- Supported currency: HKD only

1



2



## FUND CONVERSION

You can submit a buy order based on your consolidated buying power. It is calculated based on all of the currencies you hold in your brokerage account. If you do not have the sufficient currency balance to settle your trades, you may either submit a fund conversion or make a deposit and notify us through the SoFi Securities (Hong Kong) App. Otherwise; automatic fund conversion will take place at 11:00HKT, one day before settlement date (S-1) or the next business day if it's a Hong Kong public holiday.

Any deposit / settled fund will be used to repay your debit. If they are made in the currency other than the currency you owe, automatic fund conversion will take place at 11:00 am HKT on the next business date.

## REGISTERED DEPOSIT/WITHDRAWAL BANK

Press '\$' at the bottom of the main screen >> 'manage bank accounts' >> 'add bank accounts' >> upload bank proof via instructions. The relevant update will take effect within two working days after confirmation; customer should deposit fund with the registered bank account. Please note that SoFi Securities (Hong Kong) Limited does not accept cash/third party deposit. There will be a charge for refunding all relevant deposits. Please refer to the "Cash/Third Party Deposit Return" in the fee table.