

Stock and Cash Transfer-in Campaign: August 2022

Terms and Conditions

- By participating in SoFi Securities (Hong Kong) Limited ("SoFi HK") Open Account Campaign ("Promotion"), you agree to be bound by the Terms and Conditions of the Promotion and the Platform Fee Terms and Conditions and any such variation.
- This Promotion runs from 3 August 2022 at 6:00 p.m. (HKT) to 31 August 2022 at 23:59 p.m. (HKT) ("Promotional Period").
- This promotion is applicable to:
 - SoFi HK's existing clients; and
 - SoFi HK's new clients who successfully opened SoFi HK account(s) with e-signature within the Promotional Period.
- To be eligible for the Stock and Cash Transfer-in Reward ("Reward"), you must:
 - have a Net Transfer-in Assets at the Designated Amount specified in the below table during and by the end of the Promotional Period by
 - transfer-in of HK/US securities from a third-party financial institution or bank; and/or
 - deposit of physical securities certificate; and/or
 - fund deposit
 minus
 - transfer-out of HK/US securities to a third-party financial institution or bank; and/or
 - withdrawal of physical securities certificate; and/or
 - fund withdrawal
 - maintain a Net Holding Value at such Designated Amount in your SoFi HK account by the end of 31 December 2022.
- The Net Holding Value is calculated by subtracting the amount of securities withdrawal and fund withdrawal (after the Promotional Period) from the Net Transfer-in Assets value.
- The time and amount of the Net Transfer-in Assets and Net Holding Value amount are calculated based on their effective time (the deposits and withdrawals that are processed and reflected on your daily statement) and the closing price of the effective date.
- The Reward will be determined by the lowest of your Net Transferred-in Assets and Net Holding Value according to the Designated Amount specified in the table below:

Tier	Designated Amount (or USD equivalent)	Reward				Total Reward Value ³
		Stock Reward ¹	Cash / Other Reward ²	Platform Fee		
1	HK\$60,000 or above	One or more of the following stocks*: - Twitter (US: TWTR) - Google (US: GOOG) - Apple (US: AAPL)	HK\$100	Four-month platform fee reimbursement (worth HK\$120)	=	Up to HK\$600
2	HK\$150,000 or above		HK\$300			Up to HK\$1,500
3	HK\$300,000 or above		HK\$700			Up to HK\$3,000
4	HK\$1,000,000 or above		iPhone 13 Pro			Up to HK\$10,000

Note:

- SoFi HK reserves the right to decide which and how many stocks that are credited into your account as the Reward at its sole discretion. SoFi HK reserves the right to replace any Stock Reward with alternative stock(s) without prior notice and at SoFi HK's sole discretion.
 - The amount of Cash Reward is for reference only. The actual Cash Reward will depend on the value of Stock Reward. For example, if you are entitled to tier 1's Reward and Twitter's stock price is HK\$400 when we deposit the Reward into your account, the Cash Reward will be HK\$80 (Total Reward Value HK\$600 - Stock Reward HK\$400 - Free Platform Fee HK\$120).
 - The Total Reward Value is the estimated sum of the value of Stock Reward, Cash/Other Reward and four-month platform fee.
- If your stock transfer-in or fund deposit involves foreign currency, such stocks and funds will be calculated at HKD using the foreign exchange rate determined by SoFi HK and SoFi HK has the discretionary power to determine such rate.
 - Any additional stock transfer-in and/or fund deposit made after the Promotional Period will NOT be considered in the calculation of the Reward entitlement. However, if you partially / fully withdraw your Transferred-in Assets on or before 31 December 2022, your Reward will be downgraded to another tier or will be cancelled based on the amount of Net Holding Value you maintain for the period from 31 August 2022 to 31 December 2022. For example, your Net Transferred-in Assets is HK\$300,000. Then, you withdraw HK\$200,000 in October 2022 and maintain assets of HK\$100,000 until 31 December 2022. You are only eligible for tier 1's Reward.

- Please note that SoFi HK does not allow any third-party stock transfers, third party deposits or cash deposits, any such transfers / deposits will be rejected and will not be counted as the Transferred-in Assets.
- SoFi HK will deposit the relevant Reward into your SoFi HK account on or before 31 January 2023. Your account must be active at that time.
- By participating in this Promotion, you will also enjoy a four-month platform fee reimbursement. The platform fees for the period from 1 September 2022 to 31 December 2022 will be reimbursed. The platform fees will be deducted from your account first and will be reimbursed on the same day.
- You can only enjoy this Promotion's Reward once.
- There are limited quotas for the Reward and the Reward is available on a first come first served basis.
- This Promotion and Reward are subjected to availability. SoFi HK reserves the right to replace the Reward with alternative items without prior notice and at SoFi HK's sole discretion.
- You are responsible for and are required to pay all fees, charges and taxes associated with the Reward. SoFi HK shall be deemed to have discharged its obligations once the Reward has been deposited into your SoFi HK account.
- This promotion is not applicable to:
 - A prior client of SoFi HK whose SoFi HK account(s) were closed/terminated in any period.
- This Promotion may not be transferred or sold.
- This Promotion may not be used with other promotional events.
- All Reward is non-exchangeable for cash and / or other gifts.
- SoFi HK reserves the right to terminate this Promotion at any time. Notice of termination may or may not be posted (but SoFi HK is not obliged to do so) to SoFi HK's website or via other means. After the termination, no one can participate in this Promotion and no one holds the right to claim any Reward from this Promotion from SoFi HK.
- SoFi HK reserves the right to vary the terms and conditions of this Promotion or to withdraw this Promotion at any time. SoFi HK's decision is final in all matters relating to this Promotion.
- All account opening process is subject to SFC regulations and other laws and regulations. You must fulfill all requirements as imposed by SoFi HK in order to complete the account opening process.
- In the event of any inconsistency between these Terms and Conditions and any brochure, marketing or promotional material relating to this promotion, these Terms and Conditions will prevail.
- These terms and conditions are prepared in both English and Chinese versions, in the event of discrepancy between the versions, the English version shall prevail.