

## Stocks Transferal Campaign: October 2021

### Terms and Conditions

- By participating in this Promotion, you agree to be bound by the Terms and Conditions of the Promotion and any such variation.
- You are eligible for a maximum of HK\$180 ("Gift"):
  - after your SoFi HK account has been approved and successfully opened with your e-signature within the promotion period; and
  - successfully transfer any securities to your SoFi Securities (Hong Kong) Limited ("SoFi HK") account from another broker within the promotion period; and
  - SoFi HK will deposit HK\$30 to your SoFi HK account in the next month for a maximum of 6 months, if your SoFi HK account has at least HK\$30 worth of net assets on the last business day of the previous month. your account must be active.
- This promotion start date is 15 October 2021 at 10:00 p.m. (HKT).
- This promotion will end on 31 October 2021 at 11:59 p.m. (HKT).
- The transferred securities have to be deposited into your SoFi HK account before the end of the promotion period.
- You are responsible for and are required to pay all fees, charges and taxes associated with the Gift. SoFi HK shall be deemed to have discharged its obligations once the Gift has been deposited into your SoFi HK account.
- This Promotion and Gift is subjected to availability. SoFi HK reserves the right to replace the Gift with alternative items without prior notice and at SoFi HK's sole discretion.
- This promotion is not applicable to:
  - An existing client of SoFi HK; or
  - A prior client of SoFi HK;
- This Promotion may not be transferred or sold.
- This Promotion may not be used with other promotional events.
- All Gift are non-exchangeable for cash and / or other gifts.
- SoFi HK reserves the right to terminate this Promotion at any time. Notice of termination may or may not be posted (but SoFi HK is not obliged to do so) to SoFi HK's website or via other means. After the termination, no one can participate in this Promotion and no one holds the right to claim any Gift from this Promotion from SoFi HK.
- SoFi HK reserves the right to vary the terms and conditions of this Promotion or to withdraw this Promotion at any time. SoFi HK's decision is final in all matters relating to this Promotion.
- All account opening process is subject to SFC regulations and other laws and regulations. You must fulfill all requirements as imposed by SoFi HK in order to complete the account opening process.
- In the event of any inconsistency between these Terms and Conditions and any brochure, marketing or promotional material relating to this promotion, these Terms and Conditions will prevail.
- These terms and conditions are prepared in both English and Chinese versions, in the event of discrepancy between the versions, the English version shall prevail.