

Open Account and Get Reward: September 2021

Terms and Conditions

1. By participating in this Promotion, you agree to be bound by the Terms and Conditions of the Promotion and any such variation.
2. You are eligible for the Reward:
 - a) after your SoFi HK account has been approved and successfully opened with your e-signature within 30 days after the end date of the promotion period;
 - b) have at least HK\$10,000 worth of assets in your SoFi Securities (Hong Kong) Limited ("SoFi HK") account every day for at least 30 days from the date of your account open date.
3. You are eligible to select one of the below rewards as your welcome offer ("Reward"):
 - 1 share of Twitter, Inc. (**TWTR:US**) ("Free Share"); or
 - HK\$450 Apple Store gift card ("Gift Card").
4. Selection request must be made through the SoFi Hong Kong mobile application within the promotion period. Once the request has been placed, the selection will be considered final and cannot be amended or cancelled by you.
5. This promotion start date is 1 September 2021 at 5:00 p.m. (HKT).
6. This promotion will end on 30 September 2021 at 11:59 p.m. (HKT).
7. SoFi HK will deposit the Free Share to your SoFi HK account or will send the digital Gift Card to your registered email address with SoFi HK by email ("Gift Card Email") (depends on your selection of Reward) within 30 business days after your account has been opened. Your account must be active at that time.
8. You are responsible for and are required to pay all fees, charges and taxes associated with the Reward. SoFi HK shall be deemed to have discharged its obligations once the Free Share has been deposited into your SoFi HK account or once the Gift Card has been sent to your email address. You are deemed to have received the Gift Card upon a successful transmission message being obtained by SoFi HK.
9. This Promotion and Reward is subjected to availability. SoFi HK reserves the right to replace the Reward with alternative items without prior notice and at SoFi HK's sole discretion.
10. This promotion is not applicable to:
 - An existing client of SoFi HK; or
 - A prior client of SoFi HK.
11. This Promotion may not be transferred or sold.
12. This Promotion may not be used with other promotional events.
13. All Rewards are non-exchangeable for cash and / or other gifts.
14. SoFi HK reserves the right to terminate this Promotion at any time. Notice of termination may or may not be posted (but SoFi HK is not obliged to do so) to SoFi HK's website or via other means. After the termination, no one can participate in this Promotion and no one holds the right to claim any Reward from this Promotion from SoFi HK.
15. SoFi HK reserves the right to vary the terms and conditions of this Promotion or to withdraw this Promotion at any time. SoFi HK's decision is final in all matters relating to this Promotion.
16. All account opening process is subject to SFC regulations and other laws and regulations. You must fulfill all requirements as imposed by SoFi HK in order to complete the account opening process.
17. By selecting the Gift Card as your Reward, you also agree:
 - a) The Gift Card would be subject to Apple Store Gift Card's terms and conditions, including but not limited to:
 - Gift Cards may be used only for purchases in Hong Kong at Apple Retail Stores, the Apple Online Store at store.apple.com/hk, or by calling 800-908-9888;
 - Gift Cards cannot be used for purchases at the iTunes Store, the App Store, the Mac App Store, the iBookstore, or for additional Apple Gift Cards, payment to any account of any mobile phone contract or service plan, purchases at Apple resellers, or any payment due under an Apple Financial Services account.
Please refer to Apple's website or contact Apple's customer service for details.
 - b) SoFi HK is not responsible for lost or stolen Gift Cards or for use without your permission.
 - c) You have to ensure your correspondence email address at SoFi HK record is valid and correct, and ensure your electronic mailbox available at all time before the Gift Card is sent to you. SoFi HK is not responsible for the failure of sending the Gift Card to you based on inaccurate information provided by you and any losses that arise from your own failure on such facilities.
 - d) SoFi HK is not responsible for the lost, late, incomplete, illegible, unintelligible, misdirected, damaged Gift Card Emails or otherwise they are not received by the intended recipient in whole or in part or for computer or technical error of any kind. If there are such problems, you shall contact SoFi HK for assistance within 1 month after SoFi HK sent the Gift Card Email to you.
 - e) You release SoFi HK from any liability whatsoever related to any claims, costs, injuries, losses or damages of any kind arising out of or in connection with and the acceptance, possession, misuse, or use of the Gift Card.
 - f) SoFi HK is not responsible for any issues related to the usage of the Gift Card. You shall contact Apple's customer service directly if you have any enquiries regarding the Gift Card.



18. In the event of any inconsistency between these Terms and Conditions and any brochure, marketing or promotional material relating to this promotion, these Terms and Conditions will prevail.
19. These terms and conditions are prepared in both English and Chinese versions, in the event of discrepancy between the versions, the English version shall prevail.

Lucky Draw: September 2021

Terms and Conditions

1. By participating in this Lucky Draw (the "Lucky Draw"), you agree to be bound by the below Terms and Conditions of this Lucky Draw.
2. The Lucky Draw is open to clients who fulfil all the following requirements (each, an "Eligible Participant"),
 - a. a client of SoFi Securities (Hong Kong) Limited ("SoFi HK") who:
 - maintains an active account with SoFi HK at the end of the Promotion Period; and
 - makes at least HK\$10,000 worth of positive net deposit (deposit amount minus withdrawal amount) from his/her bank into his/her SoFi HK account within and by the end of the Promotion Period (deposit instructions need to be submitted by 30 September 2021 11:00 a.m. (HKT) in order to have the fund deposit(s) reflected in your SoFi HK account by the end of the Promotion Period); and
 - b. residing in Hong Kong at the time of the Lucky Draw based on SoFi HK database record.
3. The Promotion Period is from 7 September 2021 3:00 p.m. (HKT) to 3 October 2021 23:59 p.m. (HKT).
4. The net deposit amounts are calculated based on their effective time in your account and is based in HKD using the foreign exchange rate in SoFi HK's sole discretion.
5. All Eligible Participants would be automatically entered to the Lucky Draw.
6. Each Eligible Participant will be entitled to one lucky draw chance. Each Eligible Participant who is maintaining joint account with SoFi HK and/or client with multiple SoFi HK accounts will be entitled to one lucky draw chance only.
7. The Lucky Draw winner ("Winner") will be drawn on 4 October 2021 ("Lucky Draw Date") among the Eligible Participants randomly.
8. The Winner will be announced on the SoFi HK's website and will be published in The Standard and Sing Tao Daily on 7 October 2021.
9. The Winner will be notified by phone and/or email by 8 October 2021. The Winner has to ensure his/her correspondence details at SoFi HK record is valid and correct.
10. There is only one winner and one prize in this Lucky Draw. The Winner, who fulfills all requirements stated in this Terms and Conditions, will receive 3 shares of Amazon.com, Inc. (AMZN:US) stocks (worth US\$ 9599.85 as of 20 August 2021) ("Lucky Draw Prize").
11. The Lucky Draw Prize will be deposit to the Winner's SoFi HK account(s) within 30 business days after the Lucky Draw Date. The Winner's SoFi HK account(s) must be active at that time.
12. The Winner is responsible for and are required to pay all fees, charges and taxes associated with the Lucky Draw Prize. SoFi HK shall be deemed to have discharged its obligations once the Lucky Draw Prize has been deposited into the Winner's SoFi HK account.
13. This Lucky Draw is not applicable to:
 - a. a prior client of SoFi HK whose SoFi HK account(s) was terminated on or before the Lucky Draw Date; and
 - b. staff of SoFi HK.
14. All Lucky Draw Prize is non-exchangeable for cash and / or other gifts.
15. In case of any dispute, SoFi HK has sole and final discretion of interpretation of the Terms and Conditions of this Lucky Draw and SoFi HK's decision is final in all matters relating to this Lucky Draw.
16. All account opening process is subject to SFC regulations and other laws and regulations. Participant must fulfill all requirements as imposed by SoFi HK in order to complete the account opening process.
17. SoFi HK reserves the right, at its sole discretion, to prohibit any persons from participating in any aspect of the Lucky Draw if SoFi HK deems or suspects that such person has engaged in or has attempted to engage in any of the followings:
 - a. Acting in violation of these Terms and Conditions;
 - b. Damaging, tampering with or corrupting the operation of the Lucky Draw.
18. The Lucky Draw is held within Hong Kong. These terms and conditions shall be governed by and construed in accordance with the laws of Hong Kong and each Eligible Participant submits to the exclusive jurisdiction of the courts of Hong Kong.
19. In the event of any inconsistency between these Terms and Conditions and any brochure, marketing or promotional material relating to this Lucky Draw, these Terms and Conditions will prevail.
20. These terms and conditions are prepared in both English and Chinese versions, in the event of discrepancy between the versions, the English version shall prevail.
21. Trade Promotion Competition Licence No: 54920.