

PRICING

Completely free and unlimited US and HK stock trading.
No commissions, no platform fees and no hidden fees. Ever.*

\$0 COMMISSION  **HK STOCK TRADING**

\$0 COMMISSION  **US STOCK TRADING**

STOCK EXCHANGE AND CLEARING FEES

Stamp duty	0.13% (Rounded up to nearest dollar)
Transaction levy	0.0027% (Rounded to nearest cent)
HKEx trading fee	0.005% (Rounded to nearest cent)
Settlement fee	0.002% (Min HKD 2* max HKD 200)
Tariff fee	HKD 0.5 / Execution*

*Exempted for Fixed Income and Money Market ETFs

SEC transaction fee (Sell only)	0.00051% (Min USD 0.01)
Trading activity fee (Sell only)	USD 0.000119 / Share (Min USD 0.01 max USD 5.95)

NOMINEE SERVICES AND CORPORATE ACTION

Stock custody / Deposit transaction fee	Free
Scrip fee	HKD 2 / Lot
Cash dividend / Scrip dividend	0.5% of amount (Min HKD 28)
Bonus shares	HKD 1 / Lot (Min HKD 28)
Rights / Warrants exercise	HKD 1 / Lot (Min HKD 28)
CBBC mandatory call	HKD 1 / Lot (Min HKD 28)
Excess rights application	HKD 28
Cash offer / Open offer / Privatization	HKD 1 / Lot (Min HKD 28)
Share registration change	HKD 8 / Lot
Handling of unclaimed entitlement	HKD 800 / Case
HK IPO application	HKD 88
Voting	HKD 100
SI & ISI deposit	Free
SI & ISI withdrawal	HKD 8 / Lot (Min HKD 180 / Securities)
Physical scrip deposit	HKD 80 / Securities
Physical scrip withdrawal	HKD 10 / Lot (Min HKD 180 / Securities)
Transfer deed stamp duty	HKD 8 / Deed
Voluntary cash redemption	HKD 100

Stock custody fee	Free
ADR depositary	USD 0.03 / Share annually
Reorganization activities (Voluntary)	USD 58
Corporate action	USD 3
Cash dividend	Free
Stock dividend	Free
DTC deposit	Free
DTC withdrawal (Each stock)	USD 48
Voting	USD 10

FUNDS

Deposit / Withdrawal	Free : HSBC/BOC ; HKD 15 / USD 2 : Non HSBC/BOC/ cheque (Withdrawal) ; Overseas transfer at cost (Min USD 35)
Bounced cheque / Stop payment	HKD 280 / Case
Interest on debit balance	HK prime + 8%
(Margin Account) Interest on margin loan	HK prime + 0.5%
(Margin Account) Interest on margin call	HK prime + 8%
Cash / Third party deposit return	0.1% of the amount (Min HKD 28 max HKD 280)

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ACCOUNT SERVICES

Electronic statement (Default)	Free
Mail monthly statement	HKD 38 / Statement
Electronic statement resend	HKD 18 / Statement
HK real-time quotes	First 500 quotes free / Month; Upgrade to unlimited plan HKD 250 / Month
Trade alert (Email)	Free
Account confirmation	HKD 200 / Case
Phone order	HKD 280 / Order

Electronic statement (Default)	Free
Mail monthly statement	HKD 38 / Statement
Electronic statement resend	HKD 18 / Statement
US real-time quotes	Free (USD 28 / Month for professional investor)
Trade alert (Email)	Free
Account confirmation	HKD 200 / Case
Phone order	HKD 280 / Order

* Please be aware of the additional fees charged by the stock exchange and the bank. You should reimburse any out of pocket expenses and administrative costs incurred by SoFi Securities (Hong Kong) Limited as demanded.

FUND YOUR SOFI HONG KONG ACCOUNT

Company PAYEE name : SoFi Securities (Hong Kong) Limited

Remove brackets around the words "Hong Kong" if your bank doesn't support special characters in the Payee name. E.g: SoFi Securities Hong Kong Limited

Due to the regulatory requirement, you are obligated to transfer fund from your registered bank account to our designated bank account.

HSBC

HSBC Main Building Plaza, 1 Queen's Road Central, Hong Kong

HKD : 652-032483-001

USD : 652-055161-201

CNY : 652-055179-209

Bank code : 004

Swift code : HSBCHKHHHKH

BANK OF CHINA

1 Garden Road, Hong Kong

HKD : 012-746-0-001010-2

USD : 012-746-0-800902-1

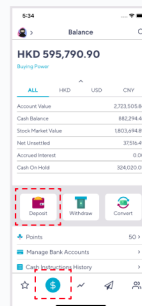
CNY : 012-746-0-600654-7

Bank code : 012

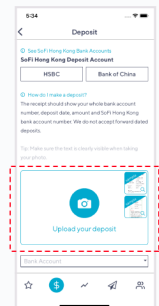
Swift code : BKCHHKHXXX

- Upload bank receipt
- Cut off time: 11am & 4pm
- Deposits are posted to your brokerage account on the same day after bank confirmation.

1



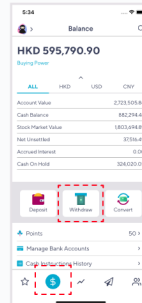
2



WITHDRAWAL

- Cut off time: 11am
- Withdrawal fund will be credited to designated bank account on the same day. However, it may be affected by ways of transfer and bank arrangement.

1



2



FUND CONVERSION

You can submit a buy order based on your consolidated buying power. It is calculated based on all of the currencies you hold in your brokerage account. If you do not have the sufficient currency balance to settle your trades, you may either submit a fund conversion or make a deposit and notify us through the SoFi Securities (Hong Kong) App. Otherwise; automatic fund conversion will take place at 11:00HKT, one day before settlement date (S-1).

Deposit will be used to repay your debt. If a deposit is made in the currency other than the currency you owe, automatic fund conversion will take place at 11:00 am HKT on the next business date.

REGISTERED DEPOSIT/WITHDRAWAL BANK

Press '\$' at the bottom of the main screen >> 'manage bank accounts' >> 'add bank accounts' >> upload bank proof via instructions. The relevant update will take effect within two working days after confirmation; customer should deposit fund with the registered bank account. Please note that SoFi Securities (Hong Kong) Limited does not accept cash/third party deposit. There will be a charge for refunding all relevant deposits. Please refer to the "Cash/Third Party Deposit Return" in the fee table.