



## “Welcome 2021” Open Account Free Share

### Terms and Conditions

- By participating in this Promotion, you agree to be bound by the Terms and Conditions of the Promotion and any such variation.
- You are eligible for one share of free share ("Free Share") :
  - after your account has been approved and successfully opened with your e-signature; and
  - have an average of at least HK\$10,000 worth of assets in your SoFi Securities (Hong Kong) Limited ("SoFi HK") account for at least 30 days from the date of your account open date
- You are eligible to select one of the below stocks as your Free Share reward:
  - Uber Technologies Inc (US:UBER)
  - Oracle Corporation (US:ORCL)
  - Slack Technologies Inc (US:WORK)
- Selection request must be made through the SoFi Hong Kong mobile application before the end of this Promotion. Once the request has been placed, the selection will be considered final and cannot be amended or cancelled by you.
- This promotion start date is 28 December 2020.
- This promotion will end on 1 February 2021. You must complete your e-signature application on or before 1 February 2021 to be eligible for the promotion.
- SoFi HK will deposit the Free Share to your SoFi HK account within 30 business days after your account has been opened. your account must be active at that time.
- You are responsible for and are required to pay all fees, charges and taxes associated with the Free Share. SoFi HK shall be deemed to have discharged its obligations once the Free Share has been deposited into your SoFi HK account.
- This Promotion and Free Share is subjected to availability. SoFi HK reserves the right to replace the Free Share with alternative items without prior notice and at SoFi HK's sole discretion.
- This promotion is not applicable to:
  - An existing client of SoFi HK; or
  - A prior client of SoFi HK; or
- This Promotion may not be transferred or sold.
- This Promotion may not be used with other promotional events.
- All Free Share are non-exchangeable for cash and / or other gifts.
- SoFi HK reserves the right to terminate this Promotion at any time. Notice of termination may or may not be posted (but SoFi HK is not obliged to do so) to SoFi HK's website or via other means. After the termination, no one can participate in this Promotion and no one holds the right to claim any Free Share from this Promotion from SoFi HK.
- SoFi HK reserves the right to vary the terms and conditions of this Promotion or to withdraw this Promotion at any time. SoFi HK's decision is final in all matters relating to this Promotion.
- All account opening process is subject to SFC regulations and other laws and regulations. You must fulfill all requirements as imposed by SoFi HK in order to complete the account opening process.
- In the event of any inconsistency between these Terms and Conditions and any brochure, marketing or promotional material relating to this promotion, these Terms and Conditions will prevail.
- These terms and conditions are prepared in both English and Chinese versions, in the event of discrepancy between the versions, the English version shall prevail.