

PRICING

Completely free and unlimited US and HK stock trading.
No commissions, no platform fees and no hidden fees. Ever.*

\$0 COMMISSION  **HK STOCK TRADING**

\$0 COMMISSION  **US STOCK TRADING**

STOCK EXCHANGE AND CLEARING FEES

Stamp duty	0.1% (Rounded up to nearest dollar)	SEC transaction fee (Sell only)	0.00221% (Min USD 0.01)
Transaction levy	0.0027% (Rounded to nearest cent)	Trading activity fee (Sell only)	USD 0.000119 / Share (Min USD 0.01 max USD 5.95) (Effective on 14 February 2020)
HKEX trading fee	0.005% (Rounded to nearest cent)		
Settlement fee	0.002% (Min HKD 2 max HKD 200)		
Tariff fee	HKD 0.5 / Execution		

NOMINEE SERVICES AND CORPORATE ACTION

Stock custody / Deposit transaction fee	Free	Stock custody fee	Free
Scrip fee	HKD 2 / Lot	ADR depository	USD 0.03 / Share annually
Cash dividend / Scrip dividend	0.5% of amount (Min HKD 28)	Reorganization activities (Voluntary)	USD 58
Bonus shares	HKD 1 / Lot (Min HKD 28)	Corporate action	USD 3
Rights / Warrants exercise	HKD 1 / Lot (Min HKD 28)	Cash dividend	Free
CBBC mandatory call	HKD 1 / Lot (Min HKD 28)	Stock dividend	Free
Excess rights application	HKD 28	DTC deposit	Free
Cash offer / Open offer / Privatization	HKD 1 / Lot (Min HKD 28)	DTC withdrawal (Each stock)	USD 48
Share registration change	HKD 8 / Lot		
Handling of unclaimed entitlement	HKD 800 / Case		
HK IPO application	HKD 88 (Successful subscription)		
Voting	HKD 100		
SI & ISI deposit	Free		
SI & ISI withdrawal	HKD 8 / Lot (Min HKD 180 / Securities)		
Physical scrip deposit	HKD 80 / Securities		
Physical scrip withdrawal	HKD 10 / Lot (Min HKD 180 / Securities)		
Transfer deed stamp duty	HKD 8 / Deed		

FUNDS

Deposit / Withdrawal	Free : HSBC/BOC ; HKD 15 / USD 2 : Non HSBC/BOC/ cheque (Withdrawal) ; Overseas transfer at cost (Min USD 35)	Deposit / Withdrawal	Free : HSBC/BOC ; HKD 15 / USD 2 : Non HSBC/BOC/ cheque (Withdrawal) ; Overseas transfer at cost (Min USD 35)
Bounced cheque / Stop payment	HKD 280 / Case	Bounced cheque / Stop payment	HKD 280 / Case
Interest on debit balance	HK prime + 8%	Interest on debit balance	HK prime + 8%
(Margin Account) Interest on margin loan	HK prime + 3%	(Margin Account) Interest on margin loan	HK prime + 3%
(Margin Account) Interest on margin call	HK prime + 8%	(Margin Account) Interest on margin call	HK prime + 8%
Cash / Third party deposit return	0.1% of the amount (Min HKD 28 max HKD 280)	Cash / Third party deposit return	0.1% of the amount (Min HKD 28 max HKD 280)

ACCOUNT SERVICES

Electronic statement (Default)	Free	Electronic statement (Default)	Free
Mail monthly statement	HKD 38 / Statement	Mail monthly statement	HKD 38 / Statement
Electronic statement resend	HKD 18 / Statement	Electronic statement resend	HKD 18 / Statement
HK real-time quotes	First 500 quotes free / Month; Upgrade to unlimited plan HKD 250 / Month	US real-time quotes	Free (USD 28 / Month for professional investor)
Trade alert (Email)	Free	Trade alert (Email)	Free
Account confirmation	HKD 200 / Case	Account confirmation	HKD 200 / Case
Phone order	HKD 280 / Order	Phone order	HKD 280 / Order

* Please be aware of the additional fees charged by the stock exchange and the bank. You should reimburse any out of pocket expenses and administrative costs incurred by SoFi Securities (Hong Kong) Limited as demanded.

FUND YOUR SOFI SECURITIES (HONG KONG) LIMITED ACCOUNT

Due to the regulatory requirement, you are obligated to transfer fund from your registered bank account to our designated bank account.

HSBC

HSBC Main Building Plaza, 1 Queen's Road Central, Hong Kong

HKD : 652-032483-001

USD : 652-055161-201

CNY : 652-055179-209

Bank code : 004

Swift code : HSBCHKHCHK

BANK OF CHINA

1 Garden Road, Hong Kong

HKD : 012-746-0-001010-2

USD : 012-746-0-800902-1

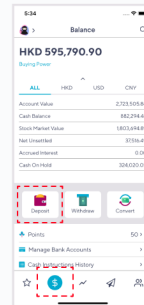
CNY : 012-746-0-600654-7

Bank code : 012

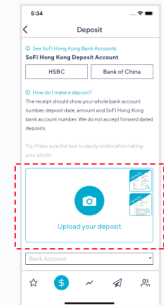
Swift code : BKCHHKHXXX

- Upload bank receipt
- Cut off time: 11am & 4pm
- Deposits are posted to your brokerage account on the same day after bank confirmation.

1



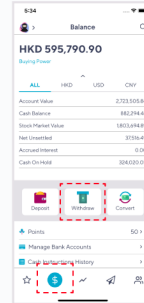
2



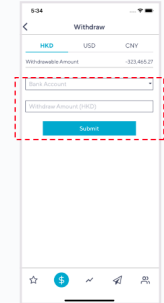
WITHDRAWAL

- Cut off time: 11am
- Withdrawal fund will be credited to designated bank account on the same day. However, it may be affected by ways of transfer and bank arrangement.

1



2



FUND CONVERSION

You can submit a buy order based on your consolidated buying power. It is calculated based on all of the currencies you hold in your brokerage account. If you do not have the sufficient currency balance to settle your trades, you may either submit a fund conversion or make a deposit and notify us through the SoFi Securities (Hong Kong) App. Otherwise; automatic fund conversion will take place at 11:00HKT, one day before settlement date (S-1).

Deposit will be used to repay your debt, If a deposit is made in the currency other than the currency you owe, automatic fund conversion will take place at 11:00 am HKT on the next business date.

REGISTERED DEPOSIT/WITHDRAWAL BANK

Press '\$' at the bottom of the main screen >> 'manage bank accounts' >> 'add bank accounts' >> upload bank proof via instructions. The relevant update will take effect within two working days after confirmation; customer should deposit fund with the registered bank account. Please note that SoFi Securities (Hong Kong) Limited does not accept cash/third party deposit. There will be a charge for refunding all relevant deposits. Please refer to the "Cash/Third Party Deposit Return" in the fee table.